

1 The Development of Contingent Reciprocity in Children

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4

5 Abstract

6

7 Cooperation between nonrelatives is common in humans. Reciprocal altruism is  
8 a plausible evolutionary mechanism for cooperation within unrelated pairs, as selection  
9 may favor individuals who selectively cooperate with those who have cooperated with  
10 them in the past. Reciprocity is often observed in humans, but there is only limited  
11 evidence of reciprocal altruism in other primate species, raising questions about the  
12 origins of human reciprocity. Here, we explore how reciprocity develops in a sample of  
13 American children ranging from 3 to 7.5 years of age, and also compare children's  
14 behavior to that of chimpanzees in prior studies to gain insight into the phylogeny of  
15 human reciprocity. Children show a marked tendency to respond contingently to both  
16 prosocial and selfish acts, patterns that have not been seen among chimpanzees in prior  
17 studies. Our results show that reciprocity increases markedly with age in this population  
18 of children, and by about 5.5 years of age children consistently match the previous  
19 behavior of their partners.

20

21 1. Introduction

22

23 Cooperation among kin is widespread in nature, but humans differ from most  
24 other species because we regularly cooperate with both relatives and non-relatives  
25 (Henrich and Henrich, 2007). Kin selection can lead to the evolution of prosocial  
26 behaviors that confer benefits on others that are related through descent from a common  
27 ancestor (Hamilton, 1964), but cannot account for cooperation between nonkin.  
28 Reciprocal altruism provides a mechanism for cooperation to evolve among pairs of  
29 nonrelatives (Trivers, 1971; Axelrod and Hamilton, 1981). Selection is expected to favor  
30 mechanisms that lead individuals to conditionally help others as long as the costs of  
31 helping are outweighed by the future benefits scaled by the likelihood of future  
32 interactions. For example, cooperation will be sustained if the benefits of cooperating are  
33 at least twice the costs, and if there is more than a 50% chance that interactions will be  
34 repeated. Reciprocal altruism requires individuals to keep track of past interactions in  
35 some way, assess the likelihood of future interactions, and condition their own behavior  
36 on the previous behavior of their partners (Trivers, 1971; Axelrod and Hamilton, 1981).  
37 Humans engage in contingent cooperation in at least some settings (Gurven, 2006), but  
38 we know very little about how the capacity for contingent reciprocity develops as children  
39 mature. The goal of this paper is to fill this gap by exploring the development of  
40 contingent prosocial behavior in children using an experimental task similar to one  
41 previously used with captive chimpanzees. This affords insight both into the  
42 developmental trajectory of human reciprocity, and also the phylogeny of this behavior.

43

44 There is considerable evidence that humans condition their own cooperation on  
45 the cooperation of others. In some small-scale societies, individuals and family units  
46 transfer greater quantities of goods to those that previously transferred greater quantities

47 to them (Gurven et al., 2000, 2002; Bliege Bird et al., 2002; Gurven, 2004, 2006). There  
48 is also evidence that these transfers are contingent on past behavior. Among the Ache,  
49 the quantity of food received by one family from another in one time period was  
50 positively related to the quantity of food given to the same family in a subsequent time  
51 period (Gurven, 2006).

52

53 Several studies have explored the development of reciprocal behavior in children  
54 (see Supplementary Materials, Table 1). Fujisawa et al. (2008) studied naturally  
55 occurring interactions among children in 3-4 year-old Japanese children, and found that  
56 children's tendency to provide help and give objects (e.g. toys) to peers correlated with  
57 the peers' tendency to act prosocial towards them. Children were not given explicit  
58 instructions about how they should behave during these observations, so this study  
59 provides a good source of naturalistic data on reciprocity in children, but correlational  
60 data do not provide clear evidence of contingency in behavior.

61

62 Experimental studies allow a more explicit analysis of contingency. Testing pairs  
63 of American fourth graders Staub and Sherk (1970) allocated a number of candies to  
64 one child in each pair, and allowed them to transfer some to the other child or keep them  
65 all. Later, the children were allowed to draw pictures, but only one crayon was provided,  
66 and it was given to the child who was non-endowed previously. Children shared crayons  
67 more with children who had shared the most candy with them. Levitt et al. (1985) placed  
68 a barrier in the middle of a playroom to separate a pair of children aged 2.5-3 years, one  
69 of who was provided with a toy and instructed by their parent to pass the toy to the child  
70 on the other side of the barrier. Later in the session, the second child was provided with  
71 a toy, and in 9 out of 10 dyads this child only shared if the first child had shared before.  
72 These data suggest a contingency in children's willingness to share, but it is possible

73 that children were responding to the adult's instructions to share, not the behavior of  
74 their partners. In Fishbein and Kaminski (1985) pairs of 6-11 year old American children  
75 played a game in which each player had the opportunity to help the other advance  
76 toward a goal. Children helped their partner (actually a stooge who had been trained to  
77 always help) about 68% of the time after their partner had helped them. However,  
78 subjects were less likely to reciprocate help if their partners had been instructed to help  
79 by the experimenter, than if their partners helped them without explicit instructions. This  
80 suggests that children condition their prosocial behavior on the perceived intentions of  
81 their partners, and on the actions and desires of adults, and raises concerns about the  
82 interpretation of results from studies in which children are instructed to share by their  
83 parents or other adults.

84

85         Birch and Billman (1986) endowed pairs of 3-5 year-old children (from the same  
86 school) with asymmetrical quantities of food (10 pieces vs. 1 piece). They then observed  
87 whether the 'rich' child shared with the 'poor' child. Of 14 children who received food  
88 when they were 'poor', 13 subsequently shared when they were 'rich'. However, of 13  
89 children who had not received food when they were 'poor', only 7 shared later when they  
90 were 'rich'. This finding suggests a contingency between sharing and being shared with,  
91 but because children are not re-paired with the same child who shared with them before,  
92 their responses may be evidence either for generalized reciprocity (Barta et al., 2011) or  
93 for a norm psychology that is trying to learn relevant rules about sharing (Chudek and  
94 Henrich, 2011).

95

96         Dahlman et al. (2007) conducted a study in which children were paired with  
97 anonymous recipients, and played a series of three 'games'. In each game, one child  
98 (the actor) was allowed to choose between two outcomes that had different payoffs for

99 themselves and another child. Then, the recipients were informed of their decisions and  
100 were allowed to choose from the same set of options. Three to five year old children's  
101 choices were not affected by the choices that their partners had made, but 6-8 year old  
102 children tended to match the previous behavior of their partners. However, the difference  
103 in the extent of reciprocity among the younger and older children was only significant in  
104 one of the three games, which has come to be known as the Prosocial Game (Fehr et al.  
105 2008; House et al. 2012). In this game, actors chose between one option that provided a  
106 reward to themselves and a reward to the other child, and a second option that provided  
107 a reward to the actor, but nothing to the other child.

108

109         These studies do not provide a clear picture of the development of contingent  
110 reciprocity as children mature. Observational evidence suggests that 3-4 year old  
111 children are most helpful to those that are most helpful to them, but correlational data do  
112 not provide evidence that children are using contingent behavioral strategies. Similarly,  
113 evidence that toddlers shared more with those who have previously shared with them is  
114 confounded by the fact that the children had been instructed to share. Fishbein and  
115 Kaminski (1985) found no effects of age on the reciprocal behavior of the 6-11 year old  
116 children that they tested, but it is not clear when contingent strategies first emerge.  
117 Moreover, most experimental studies have been limited to a single round of exchanges,  
118 and do not tell us whether children's behavior changes as they gain experience with the  
119 task and the behavior of their partners.

120

121         The current study is designed to examine the development of contingent  
122 reciprocity as children mature, but also to provide a direct comparison between the  
123 behavior of human children and that of non-human primates. Reciprocity is a plausible  
124 foundation for cooperation in non-human primates, raising additional questions about the

125 phylogeny of the human reciprocity that we are exploring in the present study. Questions  
126 about phylogeny are best answered by comparing experimental data across humans  
127 and closely related primates. Surprisingly, experimental evidence for contingent  
128 reciprocity among our closest primate relatives, chimpanzees, is limited. de Waal (1997)  
129 found that chimpanzees were 6% more likely to share food with individuals that had  
130 groomed them within the past two hours than with individuals who had not groomed  
131 them within this period. Melis et al. (2008) found a weak tendency towards reciprocity in  
132 a task in which chimpanzees could help a familiar group member gain access to a food  
133 reward by unlocking a door. However, in a task in which chimpanzees could insert  
134 tokens into a vending machine that delivered a food reward to a conspecific in an  
135 adjacent enclosure, individuals given free access to the apparatus didn't deliver many  
136 rewards to their partners or develop a contingent strategy Yamamoto and Tanaka  
137 (2009). Similarly, Brosnan et al. (2009) presented pairs of familiar chimpanzees with a  
138 variant of the Prosocial Game in which one animal, the actor, could choose between two  
139 options: Option 1 delivered a food payoff to the actor and its partners, while Option 2  
140 delivered a payoff only to the actor. Thus, Option 1 was prosocial (and equitable) and  
141 Option 2 was selfish (and inequitable). Prosocial choices were not costly to actors  
142 because they could not obtain higher payoffs by choosing the alternative outcome.  
143 Subjects alternated between playing the role of actor and recipient across trials. Actors'  
144 choices were not consistently affected by the choices of their partners in previous trials.  
145 Similar results were obtained in a subsequent study of chimpanzees using the same  
146 payoff distributions Yamamoto and Tanaka (2010). These methods can be easily  
147 adapted for use with children.

148

149           Following the procedures of Brosnan et al. (2009), in the current study we paired  
150 familiar children aged 3-7.5 years in face-to-face interactions and allowed them to

151 interact repeatedly across multiple rounds in the Prosocial Game. Our results suggest  
152 that the propensity to respond in a contingent manner does not develop until about 5.5  
153 years of age, but by this age the performance of children clearly differs from the  
154 performance of captive adult chimpanzees in a similar experimental setting.

155

## 156 2. Methods

157

### 158 2.1 Participants

159

160 Children were recruited at preschools near the University of California, Irvine.  
161 Children received a toy when parents signed the consent form, but at the time of testing  
162 children did not receive compensation for their participation beyond the payoffs obtained  
163 during the experiment. N=80 children (43 female) between the ages of 3 and 7.5 years  
164 (age 3-4: N=33, mean age=4.17, SD=.58; age 5-7.5: N=47, mean age=6.12, SD=.60).  
165 Pairs of children were about the same age, and usually drawn from the same class to  
166 emulate the methods of chimpanzee studies in which subjects are drawn from the same  
167 social groups. Pairs could be either same-sex or mixed-sex pairs, but were never  
168 composed of kin. Two participants were excluded from the analyses due to inattention or  
169 unwillingness to complete the experiment.

170

### 171 2.2 The Experimental Task

172

173 Children were seated across from one another on the floor, with the experimenter  
174 seated on one side. Two 8.5" x 14" cards were placed on the floor between the children  
175 (see Figure 1), and each card had one red circle and one blue circle printed on it. The  
176 experimental materials were based on Fehr et al. (2008). For each trial, payoffs were



177 placed in the circles and one of the two children was permitted to choose one of the two  
178 cards (binary, forced choice). Payoffs were metal washers (described as “coins”), and  
179 children were told that one washer would be exchanged for one sticker at the end of the  
180 experiment. Children were only allowed to take the payoffs from the circle that was  
181 closest to them on the selected card.

182

183 On each trial, one child was the actor and one child was the recipient. Actors  
184 were presented a choice between two options: (1) one washer for the actor and one for  
185 the recipient (the 1/1 option), or (2) one for the actor and nothing for the recipient (the  
186 1/0 option). Actors and recipients alternated roles on successive trials. The children  
187 stored their payoffs in opaque paper bags that were provided to them, and later  
188 exchanged their payoffs for stickers.

189

### 190 2.3 Procedure

191

192 Experimenters first familiarized themselves with the children at the preschools by  
193 spending several hours at the school across multiple days. Children were approached  
194 and asked if they would like to play a game with the selected partner. Pairs were led to a  
195 quieter part of the school and seated across from each other. The full experimental  
196 session presented each child with two training trials and five test trials, for a total of 14  
197 trials. Children alternated as actor and recipient during both training and test trials, and  
198 participants were told that they would alternate roles and have several turns in each role  
199 (see Supplementary Materials Section 2.1 for verbal instructions given to children).

200 Children were not informed in advance of the exact length of the experiment, though a  
201 few inattentive pairs were informed when it was the last trial. After all testing in a

202 particular classroom was completed, teachers were asked to complete a survey that  
203 rated the relationship quality of the pairs of children.

204

205         *Training:* Before each training trial, each child was given the full set of  
206 instructions, so each child heard the instructions four times. The first training trial  
207 presented the actor with a 1/1 vs. 2/2 choice, meaning that one card delivered only one  
208 payoff to each participant, while the second card delivered two payoffs to each  
209 participant. The second training trial presented actors with a 1/0 vs. 2/0 choice. These  
210 two trials were meant to introduce children to two facts about this game: payoffs  
211 obtained were influenced by the choices actors made, and recipients did not necessarily  
212 obtain payoffs. These two training trials were always presented in the same order, but  
213 the side of presentation for each payoff was counterbalanced across subjects.

214

215         *Test:* In each test trial, actors were presented with a choice between 1/1 and 1/0.  
216 Children were provided with no further instructions during test trials. Children were  
217 simply informed when it was their turn to play the actor role. Payoff options were  
218 counterbalanced so that half of the time the 1/1 was presented on the left, and half of the  
219 time it was presented on the right.

220

221 2.3 Coding

222

223         *Current Choice* was the primary dependent variable, and indicated the choice  
224 that an actor made on a focal trial. A choice of 1/1 was coded as '1' and a choice of 1/0  
225 was coded as '0'. *Partner's Previous Choice* indicated the choice that an actor's partner  
226 had made on the trial immediately prior to the focal trial (a 1/1 choice was coded as '1,' a  
227 1/0 choice was coded as '0'). *Sex* indicates the sex of the actor (female was coded as

228 '1', male was coded as '0'), *Trial Number* indicates the trial number of the focal trial, and  
229 *Age* was the absolute age of the actor.

230

231 The covariate *Relationship Quality* was created by asking teachers to rate the  
232 strength of the pair's friendship. Teachers were provided with a 7-point likert scale  
233 (1="not friends at all"; 4="on average, as good friends as are most children"; 7="best  
234 friends"; ?="don't know"). We were able to collect ratings of relationship quality from 68  
235 of our 80 subjects; 10 of the missing ratings were from the oldest children in our sample.  
236 As our sample of relationship quality is skewed toward younger children, we performed  
237 separate analyses on the subset of children for which relationship quality data were  
238 available.

239

#### 240 2.4 Analyses

241

242 Each actor made binary choices between 1/1 and 1/0 payoff outcomes on four  
243 different trials. We used multi-level logistic regressions with 'actor identity' as a random  
244 effect, controlling for each subject contributing multiple data points. Models for *Current*  
245 *Choice* explore whether actors' choices on focal trials are predicted by their partners'  
246 previous choices (*Partner's Previous Choice*), actors' experience within the experiment  
247 (*Trial Number*), demographic information (*Age* and *Sex*), and dyadic relationship quality  
248 (*Relationship Quality*). Results are presented as Odds Ratios (ORs).

249

250 We hypothesized that *Partner's Previous Choice* would predict *Current Choice*, a  
251 result consistent with reciprocal altruism. An OR greater than 1.00 would indicate  
252 reciprocity by showing that a prior choice (either 1/1 or 1/0) predicts a greater likelihood  
253 of the same choice on the subsequent trial. Effects of age and sex have been reported in

254 other studies of prosocial behavior in children (Eisenberg and Fabes, 1998; Silk and  
255 House, 2012), with females and older children being more prosocial than males and  
256 younger children, so we explored whether *Age* or *Sex* would predict *Current Choice*. An  
257 OR greater than 1.00 would indicate that females are more likely to choose 1/1 than are  
258 males, or that older children are more likely to choose 1/1 than are younger children  
259 (while an OR below 1.00 indicates the opposite). Game theory predicts an “endgame  
260 effect” for the last round of an iterated game, because as the game comes to an end  
261 individuals should be indifferent to the past behavior of others and act in their own self-  
262 interest because there are no future benefits to be obtained by cooperating (Selten and  
263 Stoecker, 1986; Normann and Wallace, 2004). We provided no explicit information about  
264 when the interaction would end, but children might expect that each subsequent trial had  
265 a greater probability of being the last, and might therefore have chosen 1/1 less often as  
266 the experiment progresses. An OR below 1.00 for the variable *Trial Number* would  
267 suggest an endgame effect by showing that children were less likely to choose 1/1 as  
268 the experiment progressed.

269

270 We also explored interactions between *Partner's Previous Choice* and *Age*, *Sex*,  
271 and *Trial Number* using the interaction terms: *Age X Partner's Previous Choice*, *Sex X*  
272 *Partner's Previous Choice*, and *Trial Number X Partner's Previous Choice*. We predicted  
273 that *Partner's Previous Choice* would interact positively with *Age* (i.e. older children  
274 would be more reciprocal than younger children), with an OR greater than 1.00.  
275 Endgame effects should also lead to a negative interaction between *Partner's Previous*  
276 *Choice* and *Trial Number* (i.e. an OR less than 1.00), again because children might  
277 expect that each subsequent trial has a greater probability of being the last, and thus  
278 become more indifferent to the prior behavior of their partners. We had no strong  
279 predictions about whether *Partner's Previous Choice* would interact with *Sex*.

280

281 To determine how well these factors (*Partner's Previous Choice*, *Age*, *Sex*, *Trial*  
282 *Number*, *Age X Partner's Previous Choice*, *Sex X Partner's Previous Choice*, and *Trial*  
283 *Number X Partner's Previous Choice*) fit the data, using Akaike weights (Burnham and  
284 Anderson, 2002; McElreath et al., 2008) we calculated the probability that each of these  
285 factors would be present in the model that best fits the data (for more details see  
286 Supplementary Materials Section 2.2). This is an independent measure of how important  
287 a particular factor is across different model structures.

288

289 Relationship Quality: We had no clear hypotheses about how *Relationship*  
290 *Quality* would predict *Current Choice*, though children were expected to be more  
291 prosocial (i.e. more likely to choose 1/1) when paired with closer friends. We also had no  
292 predictions about whether *Relationship Quality* would interact with *Partner's Previous*  
293 *Choice* (i.e. whether relationship quality predicted reciprocity), because closer friends  
294 might be more likely to interact in the future and thus more reciprocal, but prior studies  
295 also suggest that friends may be less likely to immediately reciprocate than non-friends  
296 (Silk, 2003). Analyses for *Relationship Quality* were performed separately from the other  
297 analyses because the sample from which we received relationship ratings was smaller,  
298 and skewed towards younger ages. The procedures for these analyses are identical to  
299 those used above, except that we used a reduced number of factors and thus consider  
300 fewer models in our analyses (31 models, instead of 127; see Supplementary Materials  
301 Section 2.2).

302

303 3. Results

304

305           Across all ages, children chose the 1/1 outcome on 63% of trials in which their  
306 partner had previously chosen 1/1, and on 45% of the trials in which their partner had  
307 previously chosen 1/0 (Figure 2). Older children are primarily responsible for this pattern.  
308 A partner's previous choice had little impact on the behavior of 3-4 year-olds. However,  
309 5-7.5 year-olds chose 1/1 on 70% of trials in which their partners had chosen 1/1, but on  
310 only 40% of trials in which their partner had chosen 1/0 (Figure 2). These aggregate data  
311 do not control for the non-independence in the data, and we use multi-level logistic  
312 regressions to confirm and extend these results.

313

314           First we present the results for regression models of our main effects as odds  
315 ratios (*Partner's Previous Choice*, *Age*, *Sex*, and *Trial Number*), followed by the results  
316 of models including interaction terms (*Age X Partner's Previous Choice*, *Sex X Partner's*  
317 *Previous Choice*, and *Trial Number X Partner's Previous Choice*). We also present the  
318 results of our Akaike weight analyses for each factor in turn, which gives an indication of  
319 how important each factor is for interpreting these data.

320

321           Model 1 reveals an odds ratio larger than 1.00 for *Partner's Previous Choice*  
322 (Table 1), indicating that across all subjects actor's choices of 1/1 are positively  
323 predicted by their partner's choices of 1/1 on the previous trial. However, the probability  
324 that *Partner's Previous Choice* appears in the best model is relatively low, suggesting  
325 that other factors have an important impact on children's behavior in this task.

326

327           In Model 2 *Age* displays an odds ratio slightly smaller than 1.00, suggesting that  
328 older children do not chose 1/1 more frequently than younger children. *Age* also has a  
329 relatively low probability of appearing in the best model. Similarly, in Model 3 *Trial*  
330 *Number* has an odds ratio slightly smaller than 1.00, indicating that children chose 1/1

331 less frequently as the experiment progressed. The probability of appearing in the best  
332 model is higher for *Trial Number* than it is for *Age*, but it is still relatively low. Thus, both  
333 age and progress through the experiment are factors that do not strongly predict  
334 children's choices of 1/1 on their own, and they are not the most important factors for  
335 understanding children's behavior in this task.

336

337 In Model 4, *Sex* has an odds ratio greater than 1.00, indicating that females are  
338 more likely to choose 1/1 than are males. *Sex* also has a high probability of being  
339 included in the best model.

340

341 Model 5 suggests that children become more reciprocal with age, as there is an  
342 odds ratio larger than 1.00 for the *Age X Partner's Previous Choice* interaction (Table 1).  
343 This indicates that with each one year increase in age, children are 1.71 times more  
344 likely to choose 1/1 if their partner had previously chosen 1/1. Additionally, the odds ratio  
345 for *Age* in Model 5 is smaller than 1.00, indicating that for each one year increase in age,  
346 children are 1.37 times more likely to choose 1/0 if their partner had previously chosen  
347 1/0. The probability that *Age X Partner's Previous Choice* is included in the best model is  
348 relatively large, suggesting that this interaction is much more important for  
349 understanding children's behavior in this task than is *Partner's Previous Choice* on its  
350 own. These results are illustrated in Figure 3, which displays two logistic functions  
351 obtained from applying Model 2 independently to the trials in which the actor's partner  
352 previously chose 1/1 and 1/0 (Figure 3 is also a representation of the interaction  
353 between *Partner's Previous Choice* and *Age* in Model 5). These two samples of data are  
354 best modeled by two different functions: one indicating that the probability of actor's  
355 choices of 1/1 increase with age (when their partner's previous choice was also 1/1), and  
356 one indicating that the probability of actors' choices of 1/1 decrease with age (when their

357 partner's previous choice was 1/0). For comparative purposes, Figure 3 also plots the  
358 mean rates of chimpanzees' 1/1 choices after their partner previously chose 1/1 and 1/0,  
359 as reported by Brosnan et al. (2009).

360

361 In Model 6, the odds ratio for the interaction between *Trial Number* and *Partner's*  
362 *Previous Choice* indicates that as actors progressed through the experiment they  
363 became more likely to match their partner's previous choices (Table 1). However, the  
364 magnitude of the coefficient and the probability that this factor appears in the best model  
365 are both relatively small, suggesting that it is not very important for explaining children's  
366 behavior. The odds ratio for the interaction between *Sex* and *Partner's Previous Choice*  
367 in Model 7 is smaller than 1.00, indicating that males are more likely to reciprocate their  
368 partner's choices than are females, but the relatively large standard error for this  
369 coefficient implies that this effect is not very consistent. Supporting this interpretation,  
370 the probability that *Sex X Partner's Previous Choice* appears in the best model is  
371 relatively low.

372

373 Relationship Quality: We obtained ratings of *Relationship Quality* for 85% of the  
374 dyads, and the majority of these ratings were for younger children. However, the  
375 patterns in this sample (Table 2) generally resemble those in the full sample (Table 1).  
376 The odds ratios for *Partner's Previous Choice* (Model 8) and *Age X Partner's Previous*  
377 *Choice* (Model 12) are again greater than 1.00, indicating that actors tend to reciprocate  
378 the previous choices of their partners, and that this tendency increases as a function of  
379 age. However, the odds ratio for *Age X Partner's Previous Choice* in Model 12 is  
380 reduced (relative to the odds ratio in Model 5), as is the probability that this factor is  
381 included in the best model. In Model 10, the odds ratio for *Relationship Quality* is greater  
382 than 1.00 and indicates that actors were more likely to choose 1/1 when they were



383 paired with closer friends. The high probability that this factor is included in the best  
384 model suggests that relationship quality has an important impact on prosocial behavior.  
385 Including both *Relationship Quality* and *Partner's Previous Choice* in Model 11 only  
386 moderately reduces both odds ratios, suggesting that these are largely independent  
387 effects. Interestingly, relationship quality also doesn't appear to be positively related to  
388 reciprocity in several experiments with captive chimpanzees (see Brosnan et al., 2009).

389

390 Model 13 then tests for an interaction between *Relationship Quality* and *Partner's*  
391 *Previous Choice*, which asks whether close friends are more influenced by a partner's  
392 previous choices than others. The odds ratio is larger than 1.00 but smaller than the  
393 standard error, suggesting a weak effect, and the low probability of being included in the  
394 best model suggests this factor is not nearly as important as is *Relationship Quality* on  
395 its own.

396

#### 397 4. Discussion

398

399 These results demonstrate contingent prosocial behavior in our sample of  
400 American 3-7.5 year-olds, with older children being more likely to match the behavior of  
401 their partners than younger children. The models predict that in a similar sample by  
402 about 4.5 years children will choose 1/1 more than half the time when their partner  
403 chose 1/1 during the previous round, and by about 5.5 years children will choose 1/1  
404 less than half of the time when their partner chose 1/0 previously (Figure 3). This  
405 suggests that positive reciprocity develops slightly ahead of negative reciprocity, but it is  
406 also possible that children simply had a baseline bias towards the prosocial outcome  
407 making it appear as though positive reciprocity emerges earlier. Conclusions about the  
408 separate ontogenies of positive and negative reciprocity will require further study.

409

410           The behavior of human children differs substantially from the behavior of adult  
411 chimpanzees in this task. By age 5.5, children reciprocated both 1/1 and 1/0 choices by  
412 their partners significantly more than 50% of the time, while chimpanzees never did so.  
413 However, it would be premature to conclude that there are differences in the capacity for  
414 contingent reciprocity among chimpanzees and human children. There is correlational  
415 evidence for reciprocity in grooming and food sharing among wild chimpanzees (Mitani,  
416 2006), and it is possible that reciprocity among chimpanzees is poorly captured by  
417 laboratory tasks like this one (see also Melis et al., 2008). Moreover, although we  
418 modeled our experiment after Brosnan et al. (2009), the procedures were not identical.  
419 For example, the children received verbal instructions, while the chimpanzees did not,  
420 receiving numerous training trials instead. It is also possible that developing in captivity  
421 has cognitive or behavioral consequences for chimpanzees that makes the behavior of  
422 captive animals a poor model for the behavior of wild animals (Boesch, 2007, 2008; but  
423 see: Tomasello and Call, 2008).

424

425           Regardless, our results clearly indicate that humans and chimpanzees differ in  
426 how reciprocity shapes their social interactions in a similar context, and this enhances  
427 our understanding of the constraints on the development of contingent reciprocity in  
428 humans and other animals. Understanding these constraints is necessary for  
429 understanding the mechanisms that underlie cooperation across species.

430

#### 431 4.1 Developmental Effects on Contingent Reciprocity

432

433           Our results indicate that children begin to respond contingently when they are  
434 about between 4.5 and 5.5 years of age. Unfortunately, few other studies of the

435 development of contingent cooperation span this age range within a single experimental  
436 context, making it hard to compare our results with the results from other studies. The  
437 correlational study showing that 3-4 year old Japanese children selectively share and  
438 help those that most often share and help them suggests that children may practice  
439 contingent strategies by this age (Fujisawa et al., 2008)—though contingency is not  
440 actually shown. In contrast, the 3-4 year-olds that we tested did not condition their  
441 behavior on the previous behavior of their partners. Differences in methodology make it  
442 difficult to compare these results directly, but raise a number of possibilities. First, as  
443 noted earlier, it is possible that the patterns observed among the Japanese preschoolers  
444 are not the product of contingent reciprocity. Second, it is possible that contingent  
445 behavioral strategies emerge earlier in naturalistic, everyday settings than in more  
446 artificial experimental settings. Third, cultural differences may produce different  
447 developmental trajectories among children in the US and Japan.

448

449         Birch and Billman (1986) found that 3-5 year old children were more likely to  
450 share with others if they had previously been the recipients of others' generosity than if  
451 they had not been the recipients of generosity. However, it is not clear whether the  
452 youngest children were as likely to "pay it forward" as the oldest children that they  
453 tested. Our results are also consistent with Fishbein and Kaminski (1985) finding that 6-  
454 11 year olds respond contingently to the behavior of their partners.

455

456         Our results are also consistent with the results of Dahlman et al. (2007) who  
457 found that 6-8 year-olds were significantly more likely to respond contingently to the  
458 behavior of anonymous partners in the Prosocial Game than 3-5 year olds. It is not clear,  
459 however, how anonymity influences children's likelihood of reciprocating, so the parallels  
460 in the results must be viewed with some caution.

461

## 462 4.2 Effects of Sex

463

464 In this experiment, females were generally more likely to choose the prosocial  
465 option than males, but there was no effect of sex on the likelihood of reciprocation. In  
466 other words, females were more likely than males to choose 1/1 when their partner  
467 chose 1/1 but also when their partner chose 1/0. This pattern is largely consistent with  
468 findings from the literature. Many studies of the development of prosocial behavior have  
469 reported that females are more prosocial than males (Fabes and Eisenberg, 1998). In  
470 Dictator Games conducted with children, females are more likely than males to donate  
471 some amount, and more likely to donate larger amounts (Harbaugh et al., 2003;  
472 Gummerum et al., 2008, 2009; Leman et al., 2009; Blake and Rand, 2010). However,  
473 sex differences do not emerge in all experimental economic studies conducted with  
474 children (Harbaugh and Krause, 2000; Sally and Hill, 2006; Takezawa et al., 2006;  
475 Benenson et al., 2007; Lucas et al., 2008). There is little evidence of sex differences in  
476 children's reciprocal behavior. Sutter and Kocher (2007) found no effects of sex in an  
477 anonymous trust game played with subjects aged 8 years to adult. Dreman and  
478 Greenbaum (1973) found that male subjects, but not female subjects, responded to  
479 anonymity by becoming less prosocial. This might suggest an effect of sex on sensitivity  
480 to anonymity, but only indirectly suggests a possible sex difference in contingent  
481 responses.

482

## 483 4.3 Effects of Trial Number

484

485 Endgame effects are commonly found in repeated games as rates of cooperation  
486 drop as the game progresses toward the last rounds (Selten and Stoecker, 1986;

487 Normann and Wallace, 2004). However, we found little evidence for endgame effects  
488 among the children that we tested. Although trial number negatively predicted prosocial  
489 behavior (Model 3, Table 1) and positively predicted reciprocity (Model 6, Table 1), the  
490 effects of trial number are very weak, and the magnitude of these effects is very small.  
491 Moreover, if the weak negative effect of trial number on prosocial choices were evidence  
492 of an endgame effect, then we would predict that trial number would also have a  
493 negative effect on reciprocity as children become less sensitive to the previous behavior  
494 of their partners in the last rounds of the game. Instead, we found that reciprocity  
495 increases as the experiment progresses, and on the final trial children reciprocate 1/1  
496 choices 81% of the time (SE=10). This suggests that children are becoming more, not  
497 less, reciprocal as they gain experience with the task. Thus, trial number may negatively  
498 predict children's probability of choosing 1/1 because they are becoming more inclined  
499 to punish selfish behavior by others, not because they are becoming less prosocial due  
500 to an endgame effect. Regardless, effects of trial number are substantially weaker than  
501 effects of previous choices and actor sex, suggesting that children enter the task already  
502 endowed with reciprocal strategies and their responses change little over the course of  
503 the experiment.

504

#### 505 4.3 Effects of Relationship Quality

506

507 One might assume that relationship quality is associated with the likelihood of  
508 future interactions, and thus stronger relationships should predict higher rates of  
509 reciprocity. However, empirical studies of friendship among adults (at least in the West)  
510 show that friends are less likely to immediately reciprocate a prosocial act than are non-  
511 friends, and immediate repayment by a friend can even viewed negatively, perhaps  
512 explaining why friends also sometimes go to the trouble of concealing prosocial acts

513 (Silk, 2003). Interestingly, studies with non-human primates also suggest that reciprocity  
514 might be stronger across longer timescales (Schino and Aureli, 2009; Jaeggi et al.,  
515 under review in EHB). The reasons for such behavior among humans aren't fully  
516 understood. It is possible that short-term bookkeeping within a relationship is avoided  
517 because it implies that future cooperative interactions are unlikely. Alternatively, a long  
518 history of reciprocity within a dyad may reduce the relative value of any particular  
519 cooperative action, thus reducing the relative costs of that act and the need to  
520 reciprocate small prosocial acts. Regardless of their cause, these patterns among adults  
521 fit with our finding that children paired with close friends are typically more prosocial, but  
522 not more reciprocal, than those paired with non-friends. Importantly, we also show that  
523 effects of relationship quality are distinct from the effects of partner's previous choices,  
524 meaning that our evidence for reciprocity in children's behavior is not simply due to  
525 friends being highly (but non-contingently) prosocial.

526

527         Our analyses suggest that at least some of the fundamental characteristics of  
528 (Western-style) friendship in adults also describe friendships among children, as  
529 measured by third-party adult raters. This points to a paradigm for exploring the  
530 development of the dynamics of friendships among children in a systematic way, a topic  
531 that has not been investigated in much detail.

532

## 533 5. Summary

534

535         Despite considerable evidence for reciprocity in human social behavior, we do  
536 not fully understand the ontogenetic development of contingent reciprocity in humans.  
537 Our results demonstrate the emergence of contingent prosocial behavior and are largely  
538 consistent with the limited developmental literature on reciprocity that suggests that

539 reciprocity is predicted by child age but not by child sex (although sex does predict  
540 prosocial behavior more generally). The current study also adds to our understanding of  
541 the phylogeny of human reciprocity, by illustrating that within similar experimental  
542 contexts children engage in contingent prosocial behavior (as do human adults) but  
543 captive adult chimpanzees do not. This suggests differences in the reciprocal strategies  
544 of humans and our closest living relatives, but the source of these differences will require  
545 further systematic study of the conditions under which reciprocity is elicited in both  
546 species. Our findings suggest that reciprocity develops in American children by 5.5 years  
547 of age within this experimental context. These results provide a useful foundation for  
548 future work that explores the nature of this developmental process, and sets the stage  
549 for more focused tests of how cognitive changes and cultural acquisition influences  
550 contingent reciprocity. Both are necessary for fully understanding the developmental  
551 processes that underlie human cooperation, and for understanding how human  
552 cooperation differs from that of our close primate relatives.

553

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Figure 1

*Experimental Setup. Payoffs are individual washers (visible inside each circle below), each of which was exchanged for one sticker after the experiment was completed. In the example trial below the child on the left is the actor, and the child on the right is the recipient.*



Figure 2

Data across all trials: for all ages combined, children aged 3-4, and children aged 5-7.5. White bars denote the proportion of 1/1 choices that children made when their partner chose 1/1 on the previous trial. Grey bars denote the proportion of 1/1 choices that children made when their partner chose 1/0 on the previous trial. These data do not control for the fact that individual children were observed multiple times, and for this reason we do not include confidence intervals. See Figure 3 for appropriate confidence intervals.

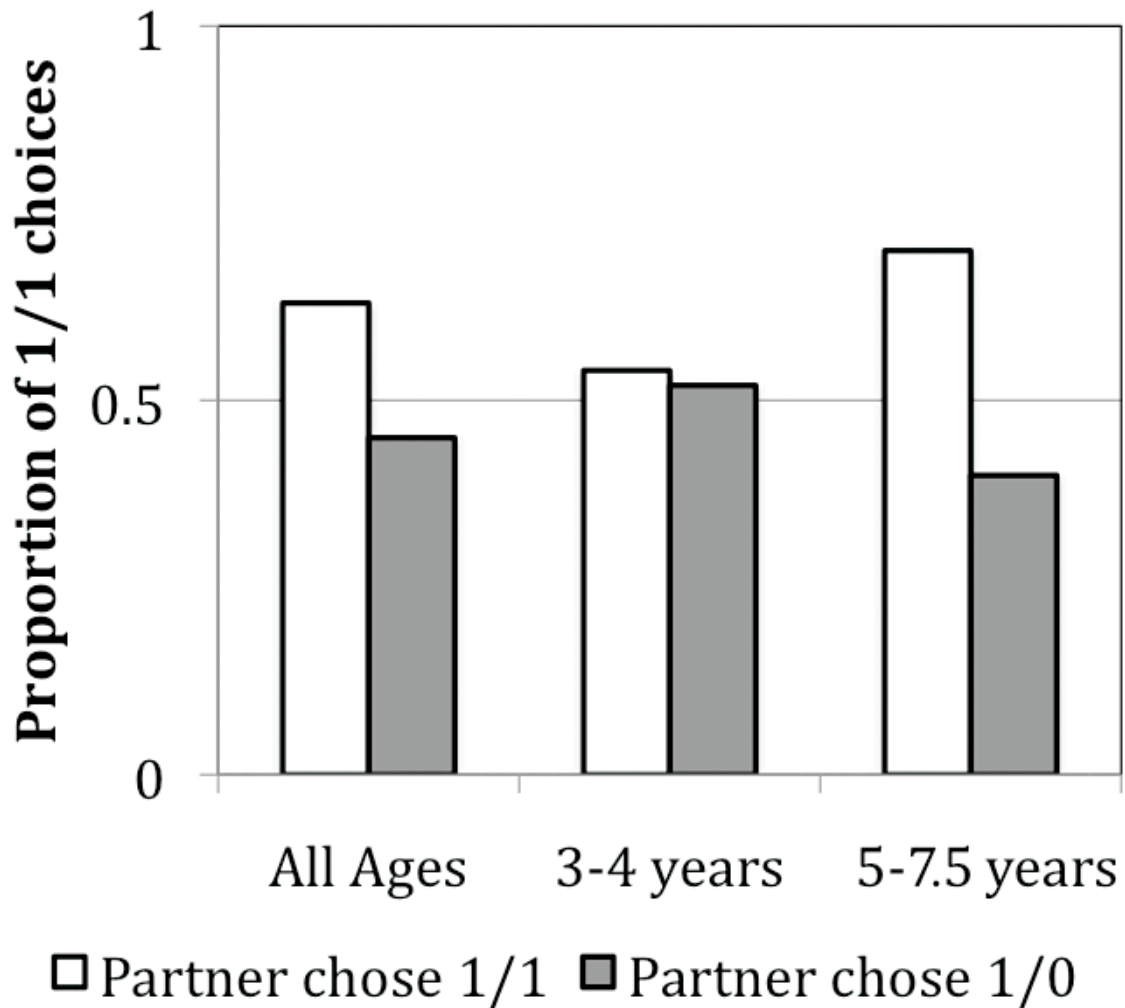


Figure 3

Graphical representation of logistic function from Model 2 from Table 2, as independently applied to trials that were preceded by a partner's 1/1 choice (dark grey) and trials that were preceded by a partner's 1/0 choice (light grey). The x-axis represents children's age, and the y-axis represents the model's prediction about children's probability of choosing the 1/1 outcome. The dark and light grey regions denote estimated 95% confidence intervals for the logistic function. Children are estimated to reciprocate 1/1 choices by their partner more than 50% of the time by age 4.5 years, and to reciprocate 1/0 choices more than 50% of the time by 5.5 years. In contrast, chimpanzees never reciprocated their partner's choices more than 50% of the time (Brosnan et al., 2009). Differences between the behavior of children and chimpanzees can be estimated by determining where the confidence intervals no longer overlap with the dotted lines.

\* Probability that chimpanzees choose 1/1 when their partner chose 1/1. By 4 years of age children reciprocate 1/1 choices by their partner more than do chimpanzees.

\*\* Probability that chimpanzees choose 1/1 when their partner chose 1/0. By 7 years of age children reciprocate 1/0 choices by their partner more than do chimpanzees.

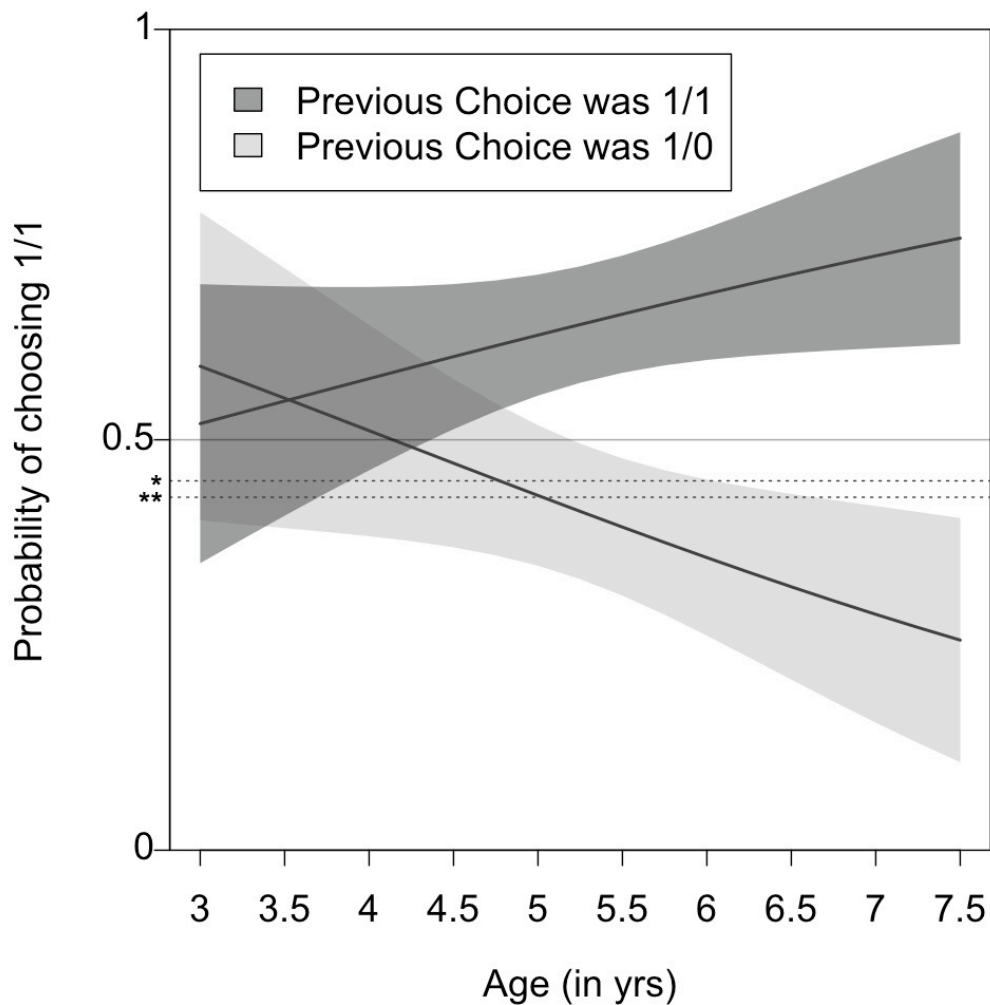




Table 1

*Models for the Current Choice, for the full sample. The probability that each factor appears in the best model (out of all 127 models considered) is calculated by summing the Akaike weights for all models that include that factor. Factors with probabilities closest to 1 are the factors most likely to explain the data well, irrespective of exact model structure. Each model provides odds ratios and standard errors for each factor that has been included in the model. Odds ratios larger than 1.00 indicate that the parameter predicts a higher probability of choosing 1/1, while odds ratios less than 1.00 indicate that the parameter predicts a lower probability of choosing 1/1. The last row provides the estimates for the random effect (child id), which are presented as coefficients instead of odds ratios. For each model this parameter's coefficient is substantially larger than the standard error, indicates substantial differences across individual subjects in how they behave in this task.*

DV: Current Choice	Probability that variable appears in the best model	Models						
		1	2	3	4	5	6	7
		Odds Ratio (St Err)	Odds Ratio (St Err)	Odds Ratio (St Err)	Odds Ratio (St Err)	Odds Ratio (St Err)	Odds Ratio (St Err)	Odds Ratio (St Err)
Partner's Previous Choice	.44	2.58 (.69)				.15 (.20)	.58 (.72)	3.35 (1.35)
Age	.38		.99 (.15)			.73 (.14)		
Trial Number	.54			.91 (.05)			.86 (.07)	
Sex	.77				1.83 (.60)			2.42 (1.05)
Age X Partner's Previous Choice	.73					1.71 (.41)		
Trial Number X Partner's Previous Choice	.41						1.15 (.13)	
Sex X Partner's Previous Choice	.34							.66 (.36)
Random effect parameter (child ID)		.88 (.24)	1.00 (.23)	1.03 (.23)	.96 (.23)	.81 (.24)	.88 (.24)	.80 (.24)

Table 2

*Models for Current Choice, for the sample rated for relationship quality. The probability that each factor appears in the best model (out of all 31 models considered) is calculated by summing the Akaike weights for all models that include that factor. Factors with probabilities closest to 1 are the factors most likely to explain the data well, irrespective of exact model structure. Each model provides odds ratios and standard errors for each factor that has been included in the model. Odds ratios larger than 1.00 indicate that the parameter predicts a higher probability of choosing 1/1, while odds ratios less than 1.00 indicate that the parameter predicts a lower probability of choosing 1/1. The last row provides the estimates for the random effect (child id), which are presented as coefficients instead of odds ratios. For each model this parameter's coefficient is substantially larger than the standard error, indicates substantial differences across individual subjects in how they behave in this task.*

DV: Current Choice	Probability that variable appears in the best model	Models					
		8	9	10	11	12	13
		Odds Ratio (St Err)	Odds Ratio (St Err)	Odds Ratio (St Err)	Odds Ratio (St Err)	Odds Ratio (St Err)	Odds Ratio (St Err)
Partner's Previous Choice	.40	1.88 (.55)			1.72 (.51)	.26 (.37)	1.17 (1.12)
Age	.28		1.09 (.18)			.87 (.19)	
Relationship Quality	.71			1.42 (.21)	1.36 (.19)		1.30 (.24)
Age X Partner's Previous Choice	.51					1.47 (.40)	
Relationship Quality X Partner's Previous Choice	.45						1.11 (.26)
Random effect parameter (child ID)		.93 (.25)	1.02 (.25)	.92 (.25)	.86 (.26)	.87 (.26)	.85 (.26)

**Supplementary Material (Hyperlink only displayed in PDF)**

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